

# Self-similarity in the entropy graph for a family of piecewise linear maps.

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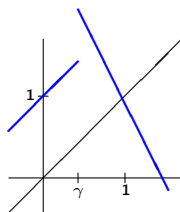
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## The family $Q_\gamma$

For fixed slope  $s > 1$  and  $\gamma \in [0, 1]$ , take:

$$Q_\gamma(x) = \begin{cases} x + 1, & x \leq \gamma \\ 1 + s(1 - x), & x > \gamma \end{cases}$$



There is **matching** if there are  $\kappa^\pm \geq 1$  such that

$$\begin{aligned} Q_\gamma^{\kappa^+}(\gamma^+) &= Q_\gamma^{\kappa^-}(\gamma^-), \\ (Q_\gamma^{\kappa^+})'(\gamma^+) &= (Q_\gamma^{\kappa^-})'(\gamma^-). \end{aligned}$$

The number

$$\Delta = \kappa^+ - \kappa^-$$

is the **matching index**.

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(In this case  $Q_\gamma^n(\gamma^+) = Q_\gamma^n(\gamma^-) = 1$  is fixed for all  $n$  large enough.)
- ▶ The non-matching set  $\mathcal{E}$  has Hausdorff dimension

$$\dim_H(\mathcal{E}) = 1,$$

but  $\dim_H(\mathcal{E} \setminus [0, \delta)) < 1$  for every  $\delta > 0$ .

# The family $Q_\gamma$

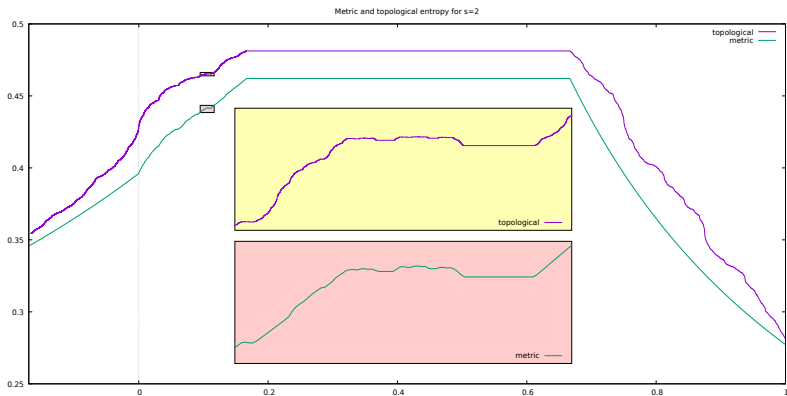


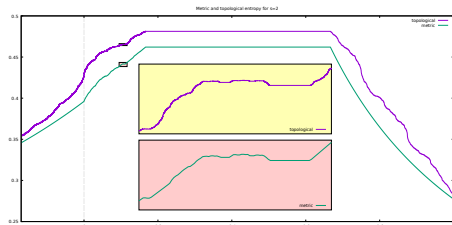
Figure: Topological & metric entropies of  $Q_\gamma$  for  $s = 2$  as functions of  $\gamma$ .

# The family $Q_\gamma$

**Theorem:** Topological and metric entropy

$h_\mu(Q_\gamma)$  and  $h_{top}(Q_\gamma)$  are  $\begin{cases} \text{decreasing} & \text{if } \Delta < 0; \\ \text{constant} & \text{if } \Delta = 0; \\ \text{increasing} & \text{if } \Delta > 0, \end{cases}$

as function of  $\gamma$  within matching intervals. (Recall  $\Delta = \kappa^+ - \kappa^-$ .)



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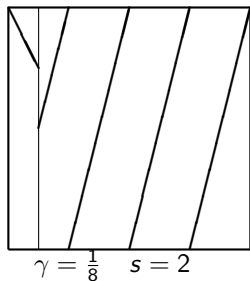
- ▶ Explain why matching happens;
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  - ▶ **pseudo-centers**
  - ▶ period doubling cascades
  - ▶ computing the **matching index**
- ▶ **Tuning windows** and explanation of the self-similarity of the entropy graphs.

# Why there is matching

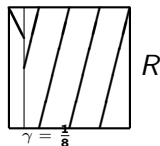
Let  $g(x) := s(1-x) \bmod 1$  and  $R : (0, 1) \rightarrow (0, 1)$  be the first return of  $Q_\gamma$  to  $[0, 1)$ .

Lemma:

$$R(x) = \begin{cases} g(x) & \text{if } x \in (0, \gamma) \\ g^2(x) & \text{if } x \in (\gamma, 1) \end{cases}$$



## Why there is matching



**Lemma:** For fixed  $\gamma \in [0, 1]$ , the following conditions are equivalent:

- (i)  $g^k(\gamma) < \gamma$  for some  $k \in \mathbb{N}$ ;
- (ii) matching holds for  $\gamma$ .

In other words, the bifurcation set is

$$\mathcal{E} = \{\gamma \in [0, 1] : g^k(\gamma) \geq \gamma \forall k \in \mathbb{N}\}.$$

# Pseudo-centers

**Motivation:** Find exact formulas for matching intervals  $J$  and their matching indices  $\Delta$  for **slope  $s = 2$**  (also works for  $2 \leq s \in \mathbb{N}$ ).

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- ▶ For binary string  $u$ , let  $\check{u}$  be the bitwise negation of  $u$ .
- ▶ For  $\xi \in \mathbb{Q}_{\text{dyd}} \setminus \{1\}$  and let  $w$  be the shortest **even** binary expansion of  $\xi$  and  $v$  be the shortest **odd** binary expansion of  $1 - \xi$ .

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- ▶ Define the interval  $I_\xi := (\xi_L, \xi_R)$  containing  $\xi$  where,
- ▶  $\xi_L := \overline{.v\check{v}}$ ,  $\xi_R := \overline{.w}$ .
- ▶ Also define the “degenerate” interval  $I_1 := (2/3, +\infty)$ .



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In short:  $I_\xi := (\xi_L, \xi_R)$  with  $\xi_L := \overline{\cdot \check{V} V}$ ,  $\xi_R := \overline{\cdot W}$ .

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If  $\xi = 1/2$  then  $w = 10$ ,  $v = 1$  and  $\xi_L = \overline{.0\check{1}}$ ,  $\xi_R = \overline{.1\check{0}}$ .

$$(01) \quad w = u01 \Rightarrow \xi_L = \overline{.u001\check{u}110};$$

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$\xi$	$\xi_R$	$\xi_L$
$\frac{1}{2} = .10$	$\frac{2}{3} = \overline{.10}$	$\frac{1}{3} = \overline{.01}$
$\frac{1}{4} = .01$	$\frac{1}{3} = \overline{.01}$	$\frac{2}{9} = \overline{.001110}$
$\frac{7}{32} = .001110$	$\frac{2}{9} = \overline{.001110}$	$\frac{7}{33} = \overline{.0011011001}$
$\frac{3}{16} = .0011$	$\frac{1}{5} = \overline{.0011}$	$\frac{2}{11} = \overline{.0010111010}$
$\frac{9}{64} = .001001$	$\frac{1}{7} = \overline{.001}$	$\frac{4334}{16383} = \overline{.00100011101110}$
$\frac{1}{8} = .0010$	$\frac{2}{15} = \overline{.0010}$	$\frac{1}{9} = \overline{.000111}$

# Pseudo-centers

## Theorem:

- ▶ All matching intervals have the form  $I_\xi$ , where  $\xi \in \mathbb{Q}_{\text{dyd}}$  are precisely the pseudo-centers of the components of  $[0, \frac{2}{3}] \setminus \mathcal{E}$ .
- ▶ The matching index is

$$\Delta(\xi) = \frac{3}{2}(|w|_0 - |w|_1),$$

where  $|w|_a$  is the number of symbols  $a$  in  $w$  (the shortest **even** binary expansion of  $\xi$ ).

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**Proposition:** If  $\gamma \geq \frac{1}{6}$ , then  $|w|_0 = |w|_1$ . In particular, all matching intervals in  $(\frac{1}{6}, \frac{2}{3})$  have matching index  $\Delta = 0$

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**Remark:** For general slope  $s \geq 2$ , the formulas are

$$\Delta(\xi) = \frac{s+1}{2} \sum_{a=0}^{s-1} (s-1-2a)|w|_a, \quad M = \left( \frac{s}{s+1} - \frac{1}{s}, \frac{s}{s+1} \right)$$

## Pseudo-centers (period doubling)

Pseudo-center  $\xi = .w$  (even exp.) and  $1 - \xi = .v$  (odd exp.).

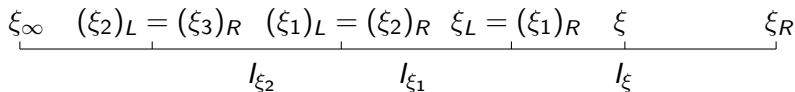
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But  $\xi_L$  is also the right end-point of  $I_{\xi_1}$  for  $\xi_1 = .\check{v}v$ . We call this “**period doubling**”. It repeats countably often, converging to  $\xi_\infty$ .



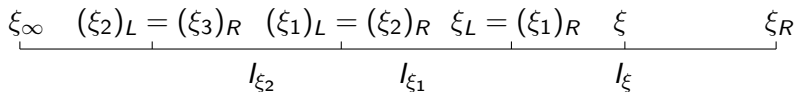


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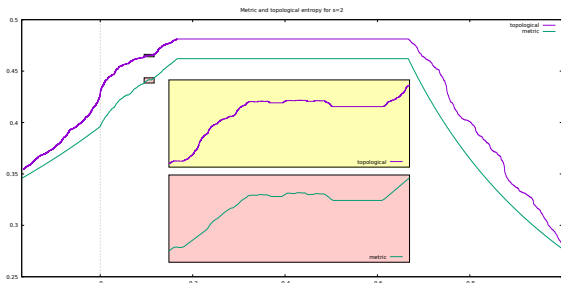
**Lemma:** The pseudo-center of the next period doubling can be obtained from the previous using the substitution:

$$\chi: \begin{array}{ll} w \mapsto \check{v}v & \check{w} \mapsto v\check{v} \\ v \mapsto vw & \check{v} \mapsto \check{v}\check{w} \end{array} .$$

Thus the limit  $\xi_\infty$  has  $s$ -adic expansion

$$\xi_\infty = .\check{v}\check{w}v\check{v}vw\check{v}\check{w}vw\check{v}\check{v}\check{w}\dots$$

# Pseudo-centers (period doubling)



**Remark:** This proposition explains constant entropy on all matching intervals in  $M = (\frac{1}{6}, \frac{2}{3})$ . A **no devil's staircase** argument gives:

$$h_{\mu}(Q_{\gamma}) = \log\left(\frac{1 + \sqrt{5}}{2}\right) \quad \text{and} \quad h_{top}(Q_{\gamma}) = \frac{2}{3} \log 2,$$

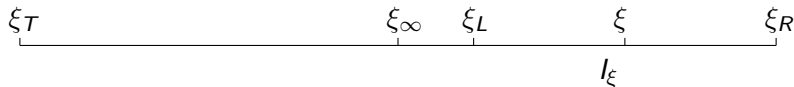
for all  $\gamma \in [\frac{1}{6}, \frac{2}{3}]$ .

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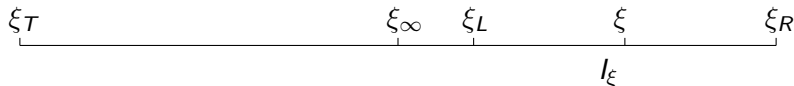


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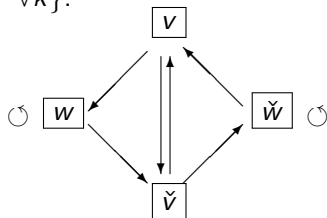


**Theorem:** Let  $K(\xi_T) = \{x : g^k(x) \geq \xi_T \forall k\}$ .

Then  $x \in K(\xi_T) \cap T_\xi$  if and only if

$$x = .\sigma_1\sigma_2\sigma_3\sigma_4\dots$$

for  $\sigma_1 \in \{w, \check{v}\}$ ,  $\sigma_j \in \{w, v, \check{w}, \check{v}\}$   
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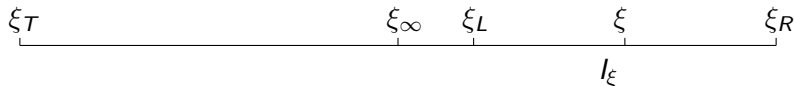


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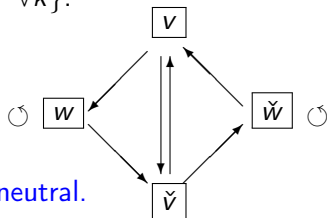
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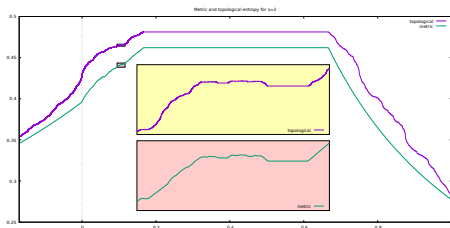
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If  $\Delta(\xi) = 0$ , then all matching in  $T_\xi$  is neutral.

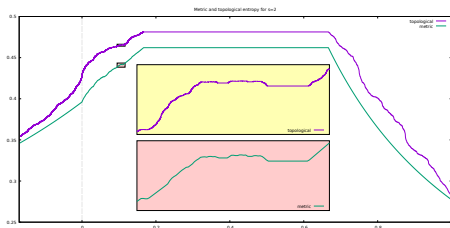


# Shape of the entropy function



**Question:** Known:  $\gamma \mapsto h(\mu_\gamma)$  is Hölder. Is  $\gamma \mapsto h_{top}(Q_\gamma)$  Hölder?

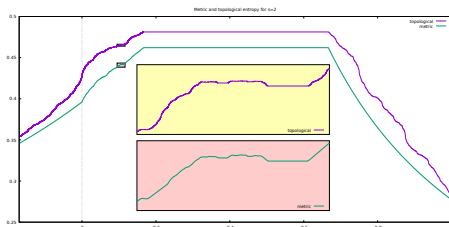
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**Conjecture:** The neutral tuning windows are exactly the plateaus of (topological and metric) entropy.

**Corollary:** The shape of the entire entropy function (i.e., pattern of increase/decrease) is repeated in every tuning window  $T_\xi$  with  $\Delta(\xi) > 0$ , and reversed in every tuning window  $T_\xi$  with  $\Delta(\xi) < 0$ .